

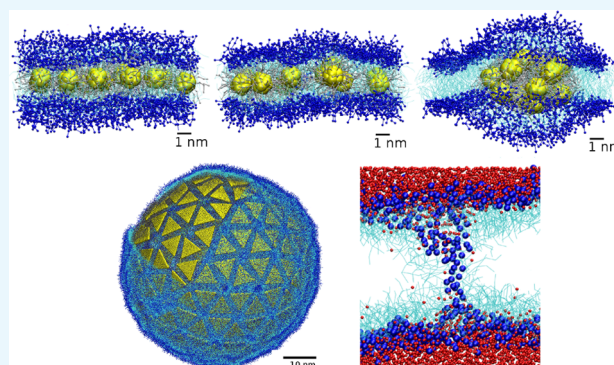
# Nanoparticles Self-Assembly within Lipid Bilayers

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**ABSTRACT:** Coarse-grained molecular dynamics simulations are used to model the self-assembly of small hydrophobic nanoparticles (NPs) within the interior of lipid bilayers. The simulation results reveal the conditions under which NPs form clusters and lattices within lipid bilayers of planar and spherical shapes, depending on the NP–lipid coupling strengths. The formation of nanopores within spherical bilayers with self-assembled planar NPs is also described. These observations can provide guidance in the preparation of functional bio-inorganic systems.



## 1. INTRODUCTION

Many natural superstructures are formed by self-assembled lipids, peptides, proteins, polynucleotides, and other molecules.<sup>1</sup> These natural systems have inspired the preparation of materials composed from self-assembled synthetic nanoscale components, such as nanoparticles (NPs), block copolymers, and graphene.<sup>2–7</sup> Such granular materials can possess highly tunable properties, which depend on the sizes, shapes, and surface chemistries of the self-assembled nanoscale components. Complexation of biomolecules with nanoscale components can produce hybrid materials,<sup>8</sup> suitable for molecular sensing, drug delivery, filtration/separation, and medical imaging.<sup>9–15</sup>

Recently, hybrid NPs–lipids superstructures have been prepared. For example, NPs with hydrophobic ligands can form hybrid Janus vesicle–NPs structures.<sup>16–18</sup> Superparamagnetic iron oxide NPs embedded within lipid vesicles can be used as drug carriers and site-specific contrast agents in magnetic resonance imaging.<sup>19</sup> NPs of different sizes and surface properties can disrupt lipid bilayers<sup>20,21</sup> and change their permeability,<sup>22,23</sup> phase transition points,<sup>24,25</sup> and mechanical responses.<sup>26</sup>

The development of hybrid materials requires a good understanding of complex interactions of biomolecules and inorganic colloidal NPs.<sup>27</sup> Molecular dynamics (MD) simulations can be used to describe NPs self-assembly processes during the formation of materials.<sup>28,29</sup> Coarse-grained (CG) MD simulations can describe particularly large systems, since they map groups of atoms on CG beads. For example, CGMD simulations have been used to describe translocations of NPs and fullerene through lipid bilayers.<sup>30–35</sup> Small gold NPs coated with a mixture of anionic and hydrophobic ligands can translocate through lipid membranes without breaking them

down.<sup>36–41</sup> These studies have also shown that NPs can adsorb onto or embed within bilayer membranes depending on their sizes, shapes, and surface properties. Furthermore, the composition, distribution, and flexibility of ligands on NP surfaces can influence their translocation behavior.<sup>42–44</sup> These studies model the insertion and stabilization of individual NPs inside lipid bilayers, however, there are relatively few studies that focus on the synergetic assembly of NPs and lipids in the context of biohybrid systems, such as the self-assembly of NP chains and nanoshells guided by lipid membranes.<sup>45–47</sup> In particular, the self-assembly of NP clusters within lipid bilayer has so far not been simulated.

Here, we model hybrid systems formed by superlattices of small hydrophobic NPs inside lipid bilayers.<sup>48–51</sup> These complex systems resemble other self-standing NPs membranes.<sup>52–54</sup> The goal of this study is to understand the conditions under which NP clusters insert into lipid bilayers, stabilize within them, and form superstructures. We also study how NPs of different shapes, sizes, and chemistries affect the lipid bilayers, in particular, create pores in the bilayers.

## 2. RESULTS AND DISCUSSION

**2.1. NP Insertion into Lipid Bilayers.** First, we simulate the insertion of a single NP into a lipid bilayer, in analogy to graphene insertion into lipid bilayers.<sup>8</sup> Small NPs with hydrophobic ligands can enter lipid bilayers due to a favorable coupling between their ligands and lipid tails,<sup>16</sup> as observed in recent experiments.<sup>55</sup> The hydrophobic NP is initially solvated

Received: June 25, 2018

Accepted: August 23, 2018

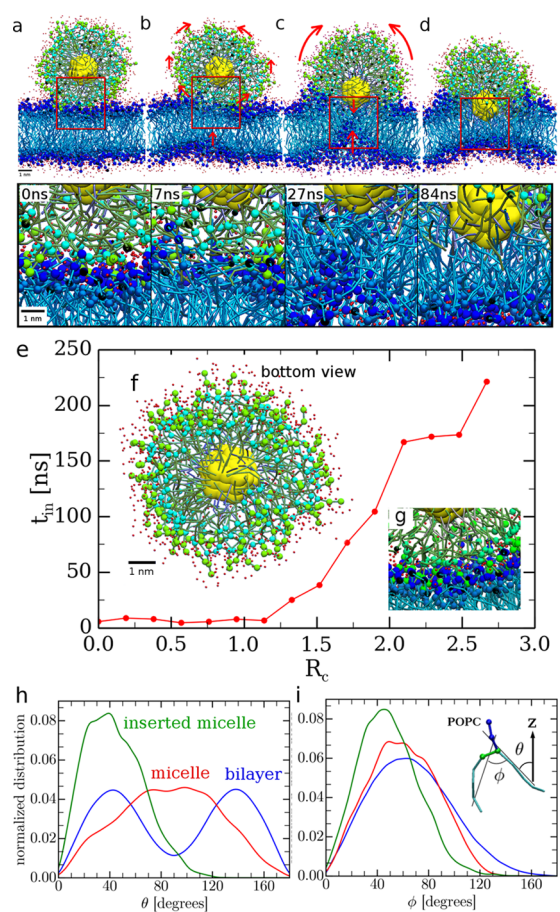
Published: September 5, 2018

in water within a micelle formed by lipid molecules. The density of lipids in the micelle determines the NP solubility in water, which affects its ability to enter the lipid bilayer.

To study the NP insertion dynamics, we first prepared a  $13 \times 13 \text{ nm}^2$  1-palmitoyl-2-oleoyl-*sn*-glycero-3-phosphocholine (POPC) bilayer and equilibrated it in water with no external lateral tension. The equilibrated bilayer has a thickness of  $h_{\text{mem}} \approx 5 \text{ nm}$  and a lipid density of  $d_{\text{mem}} \approx 2 \text{ lipids/nm}^2$ . A single small ( $d = 1.6 \text{ nm}$ ) hydrophobic NP covered by different numbers of solvation lipid molecules is placed in water, about 0.1–0.2 nm above the equilibrated POPC bilayer. These solvation lipid molecules are the same as those in the bilayer. The coverage of solvation lipids around the NP within the solution is quantified by a ratio of  $R_c = N_{\text{lip}}/N_{\text{lig}}$ , where  $N_{\text{lip}}$  is the number of solvation lipid molecules around the NP and  $N_{\text{lig}} = 42$  is the number of NP ligands. We did not observe detachment of lipids from the NP during the simulations.

Figure 1a–d shows the insertion of a hydrophobic NP with a high lipid coverage ( $R_c = 2.6$ ). The insertion dynamics closely resembles a fusion of lipid vesicles.<sup>56</sup> At  $t = 0 \text{ ns}$  (beginning of the insertion), the NP-micelle is in close contact with the surface of the POPC bilayer, maintained by the coupling between amine and phosphate lipid head groups (Figure 1a). The contact area directly below the NP becomes flat, which introduces strain onto the lipid molecules around it. The strained regions of the bilayer eventually rupture and expose the NP ligands to the hydrophobic interior of the lipid bilayer (Figure 1b). Figure 1f shows the ruptured region of the micelle at the bottom of the NP above the lipid bilayer. The NP initially interacts only with the top lipid layer, and the bottom lipid layer responds to the movement of the top lipid layer. However, as the ruptured region of the bilayer slowly expands, the NP ligands penetrate the top lipid layer and start to interact with lipid tails in the bottom leaflet of the lipid bilayer (Figure 1c), which creates a distinct inverted funnel-shape structure below the NP. At  $t = 84 \text{ ns}$ , the NP is inside the bilayer, and the funnel structure starts to recede (Figure 1d). The stabilization of the inserted NP within these asymmetrical lipid layers can take another  $\approx 100 \text{ ns}$ . The flip-flop motion of lipids between the top and bottom leaflets of the bilayer is expected to restore the symmetry of the bilayer over microsecond to millisecond timescale.

The initial coverage of lipids around the NP determines the time it takes the NP to intercalate within the lipid bilayer. We can define an insertion time,  $t_{\text{in}}$ , as the time from the NP penetration of the top leaflet till its full stabilization within the bilayer. Figure 1e shows the dependence of  $t_{\text{in}}$  on the lipid coverage ratio,  $R_c$ . When the lipid coverage is relatively low ( $R_c \leq 1$ ), the insertion time is constant,  $t_{\text{in}} \approx 6.5 \text{ ns}$ , where the NP insertion involves an abrupt penetration of the top bilayer leaflet. Rapid penetration of NP through the lipid layer is driven by a minimization of water surface tension (hydrophobic interactions). When  $R_c > 1$ , the insertion mechanism follows the previously described fusion of lipids. The growth of  $t_{\text{in}}$  with  $R_c$  is due to an increased density of lipids on the NP surface, which gives a more stable micelle within the water solvent. Figure 1h,i shows a significant change in the angle distribution of these lipid molecules before the insertion (red) and after (green) as compared to a normal bilayer (blue). In the simulations, we observe coupling between polar head groups of the bilayer and a NP-micelle even for a full lipid coverage ( $R_c > 3$ ), as shown in Figure 1g. However, these closely packed lipids prevent the formation of a strained flat

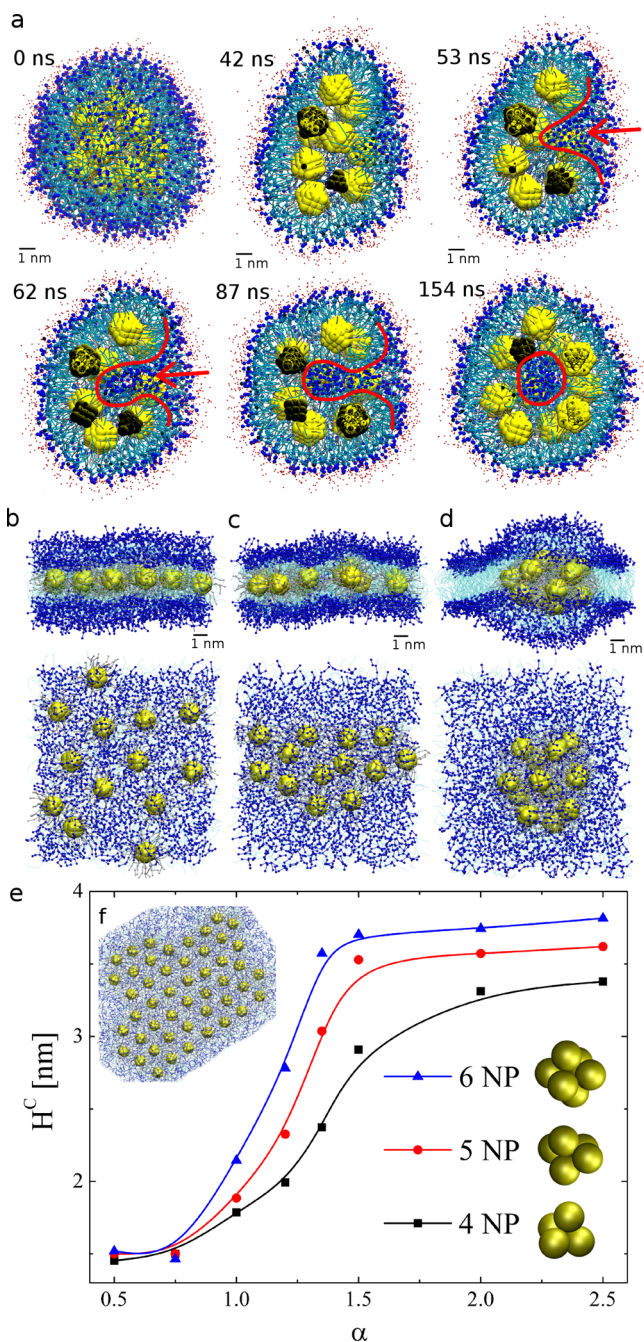


**Figure 1.** (a–d) Snapshots and close-up views of the first 84 ns of a NP inclusion process. Arrows indicate the motion of NP and lipid molecules. Initially, the NP is covered with 112 solvation lipids ( $R_c = 2.6$ ), which are colored in green to distinguish them from those of the bilayer. Nearby water molecules are shown in red. (e) The inclusion time of NP with different coverages of solvation lipids. (f) A bottom view of the NP at  $t = 7 \text{ ns}$ , which shows an opening of the structure due to bottom-to-top motion of lipid molecules. (g) A close-up view of the stable contact between polar head groups of the structure and those of the bilayer. This NP is solvated by 126 lipid molecules ( $R_c = 3.0$ ), and it does not penetrate the bilayer within our simulation time. (h, i) Tilt angle analysis of the solvation lipid when  $R_c = 1.33$ . Normalized distributions of an azimuthal tilt angle and an angle between hydrophobic tails of POPC lipid molecules.

surface that is needed to initiate membrane rupturing of the NP insertion process.

**2.2. Equilibrium NP–Lipid Superstructures.** Next, we investigate how small hydrophobic NPs ( $d = 1.6 \text{ nm}$ ) self-assemble once they enter the lipid bilayer. In general, their equilibrium arrangement depends on the NP–NP, NP–lipid, and lipid–lipid coupling strengths, NP shapes, and other parameters. We first model NPs with variable NP–NP coupling strengths, while keeping the other coupling strengths fixed. The relative coupling strengths between NPs are scaled by a factor of  $\alpha = \epsilon_1/\epsilon_0$ , where  $\epsilon_1$  is the modified strength of the  $C_{1S}-C_{1S}$  (NP–NP) Lennard-Jones (LJ) coupling and  $\epsilon_0$  is the original strength of  $C_{1S}-C_{1S}$  LJ coupling, equivalent to the  $C_{1S}-C_1$  (NP–lipid) and  $C_1-C_1$  (lipid–lipid) LJ coupling strengths. Different NP–lipid structures are observed, depending on the NP–NP coupling strength ( $\alpha = 0.5, 1.0, \text{ and } 1.5$ ) and the number of lipids present in the system.

For a small number of lipids ( $\approx 452$ ), a 13 NP cluster forms a compact cluster when  $\alpha > 1$  or it reorganizes into a small liposome from a micelle-coated NP cluster (Figure 2a) when  $\alpha$



**Figure 2.** (a) Reorganization of lipid molecules in a liposome carrying 13 NPs with  $\alpha = 0.5$ . (b–d) Stabilization of 13 NPs within a lipid bilayer: (b)  $\alpha = 0.5$  after 125 ns, (c)  $\alpha = 1.0$  after 814 ns, (d)  $\alpha = 1.5$  after 359 ns. (e) The height of small NP clusters,  $h_C(\alpha)$ . (f) Hexagonal arrangement of 48 hydrophobic NPs with  $\alpha = 1$  equilibrated in the lipid bilayer for 500 ns.

$= 0.5$ . The equilibrated liposome structure is similar to Janus-NP vesicles.<sup>16</sup> Such structure is more stable for weakly coupled NPs, since it maximizes the amount of coupling between NP ligands and lipid tails. In our simulations, we observed about a dozen of water molecules entering the hydrophilic interior of the liposome during the liposome reorganization process.

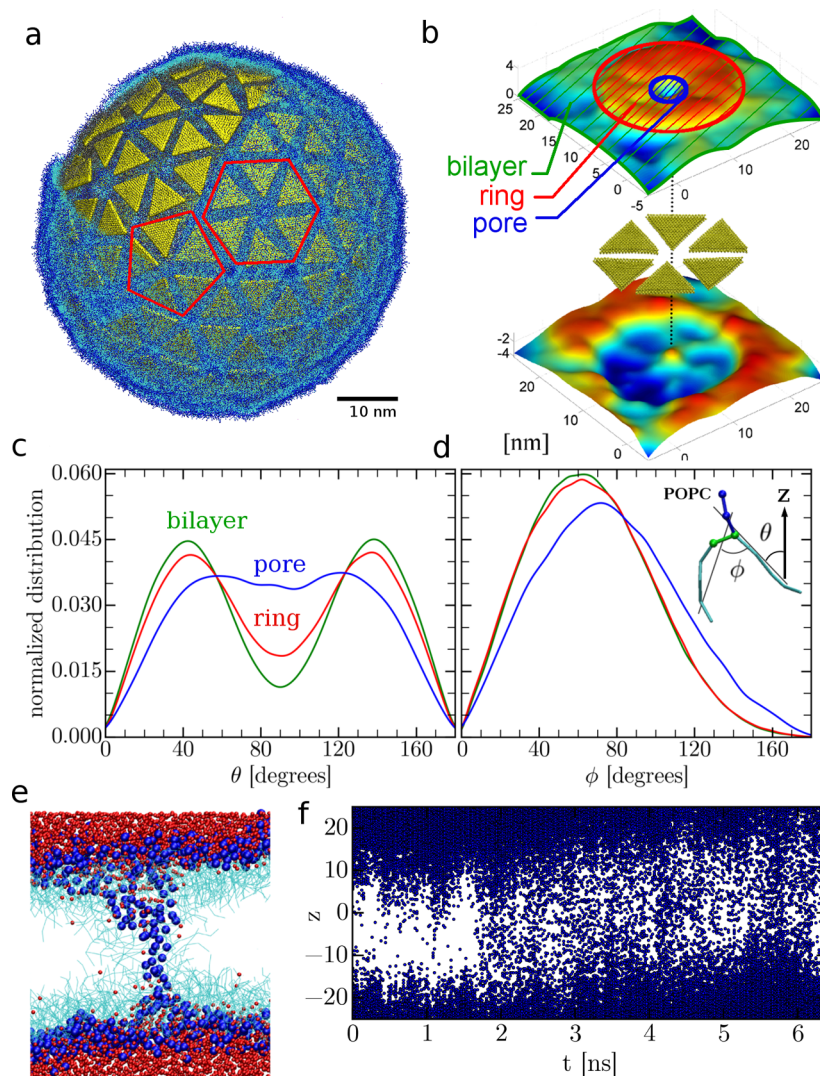
These results show that how nanosize vesicles could be prepared by designing NPs with hydrophobic ligands having NP–NP interactions weaker than NP–lipid interactions (possibly by adjusting the length of alkyl NP ligands) and allowing a cluster of such NPs to self-assemble with lipids. These vesicles can potentially be designed for use as transport cargo in biological systems. Such vesicles are difficult to prepare in experiments due to the strain induced by the formation of a large surface curvature.

When the lipids form a bilayer, a 13 NP cluster within it can form a loose monolayer (Figure 2b) when  $\alpha = 0.5$ , a close-packed monolayer (Figure 2c) when  $\alpha = 1$ , or a compact globular cluster (Figure 2d) when  $\alpha = 1.5$ . To better understand this self-assembly behavior, NP clusters formed of 4 (tetrahedron), 5 (trigonal bipyramid), and 6 (octahedron) NPs with  $\alpha = 0.5$ –2.4 are simulated inside a lipid bilayer. We calculated the average height of the equilibrated NP cluster,  $h_C$ , by subtracting the  $z$ -coordinate of the bottom-most  $SC_4$  CG beads from top-most  $SC_4$  CG beads. Figure 2e shows a plot of  $h_C$  as a function of  $\alpha$ , averaged over the last 75 ns of the  $t \approx 250$  ns long simulations. NPs in larger clusters (5, 6 NPs) have more contact points with each other, which leads to stronger clusters that flatten only at smaller  $\alpha$ . Similar to the 13 NP clusters shown in Figure 2b–d, all of these clusters flatten at  $\alpha \leq 1$  to a loose or compact monolayer with a hexagonal close-packed arrangement. Figure 2f shows a fully equilibrated monolayer of initially scattered 48 NPs with  $\alpha = 1$ .

The results in Figure 2 can be better understood if we realize that bilayer membranes are stabilized through a balance of positive and negative lateral tensions, generated by the different molecular groups present at different heights.<sup>57,58</sup> At equilibrium, the net lateral tension is close to zero. However, this balance is changed when the bilayer is deformed by the inserted NPs, leading to local tensions caused by the exposure of hydrophobic groups in curved lipid membranes.<sup>59</sup> This local tension generates a net vertical force on the inserted NP cluster (flattening), which determines its equilibrium height,  $h_C$ . Therefore, NP clusters can be formed from coalescence of individually inserted NPs or from the direct insertion of a NP cluster into the lipid bilayer. The lateral and vertical sizes, as well as the overall shapes, of the equilibrated NP clusters within the superstructure correlate to the border surface tension of the lipid layers.

### 2.3. Stabilization of Nanodiscs (NDs) in Liposomes.

Hybrid superstructures might be also formed within curved bilayers, such as liposomes. To examine this possibility, we prepared equilateral triangular nanodiscs (NDs) with a side length of  $l_{ND} \approx 7.2$  nm and a thickness of  $h \approx 0.6$  nm;  $Gd_2O_3$  nanoplates<sup>60</sup> and triangular gold nanodiscs<sup>61</sup> have been prepared experimentally.<sup>62–65</sup> These triangular NDs were arranged into groups of 6 and 5 to form larger hexagonal and pentagonal plates. Using 180 NDs arranged into 20 hexagons and 12 pentagons on a sphere and coated with (36 748) lipid molecules in water, we prepared a large truncated icosahedron shape liposome structure (Figure 3a), resembling a fullerene. Self-assembled hexagons have a zero curvature (planar), whereas self-assembled pentagons provide a positive curvature, necessary for the formation of a spherical polyhedra. Note that other convex polyhedrons satisfying the Euler characteristic of the sphere, i.e.,  $N_V - N_E + N_F = 2$  (number of vertices, edges, and faces), can in principle be prepared using triangular NDs.



**Figure 3.** Stabilization of NDs with  $\alpha = 1$  intercalated within spherical and planar POPC bilayers. (a) Hybrid NP–liposome ( $d \approx 30$  nm) with 180 intercalated triangular NDs and 36 748 lipid molecules solvated in 2 669 168 water beads after 150 ns equilibration. A portion of the lipids at the top is hidden to show better the NDs organization. Representative 5 (pentagon) and 6 (hexagon) ND clusters are marked. (b) A cluster of such 6 triangular NDs inside a POPC bilayer, equilibrated for 200 ns. A color map surface is fitted to the polar heads of the lipid molecules to show the bilayer structure. (c, d) Normalized distributions of an azimuthal tilt angle and an angle between hydrophobic tails of POPC lipid molecules in the pore, ND ring, and bilayer regions, shown in (b). (e) Side view of a central portion of the pore, showing water molecules in red and polar head group of lipids in blue. (f) Fluctuations of water beads within the pore region.

Liposomes intercalated with NDs, such as shown in Figure 3a, can have unique features and applications. Partial equilibration of this hybrid NP–liposome ( $\alpha = 1$ ) for 150 ns shows a significant annihilation of defects, present in the initial configuration, which leads to an overall stabilization of the whole superstructure. The intercalated NDs do not disturb much the lipid vesicle stability, since its fluidic lipid double layer naturally seals off small gaps and holes initially present between the NDs. However, it turns out that healing of holes is not complete for the current thickness and shape of nanoplates. One can observe a transient formation and disappearance of water chains in nanopores between the NDs tips, which are only partially filled with lipids and the associated opening and closure of the nanopore. These pores might allow the exchange of molecules between the interior and exterior of the NP–liposome, as in NPs capsules.<sup>28</sup>

Figure 3b shows a standalone nanopore formed between 6 NDs intercalated within a flat POPC bilayer ( $\approx 29.2 \times 29.2$

nm<sup>2</sup>) and simulated for  $\approx 200$  ns. Although this single-pore system is flat, the pore should have similar characteristics, like nanopores present in the above hybrid spherical liposome. The colored surface in Figure 3b clearly shows that the pore of  $\approx 2$  nm in diameter is formed along a vertical axis within a ring structure of 6 NDs. A cross-section snapshot of the pore region, displayed in Figure 3e, reveals a large tilting of lipids taking part during their wrapping around the NDs. In the confined geometry of 6 nearby NDs, lipids form a cylindrical structure, with their polar heads arranged in the center along the vertical axis of the cylindrical pore, attracting thus water molecules.

To quantify an average configuration of lipids present within the pore, we first analyze their orientation in the bilayer, ring, and pore regions specified in Figure 3b. Two angles,  $\theta$  and  $\phi$ , are defined to measure the overall tilt angle of a lipid molecule from the vertical  $z$ -axis and the spread angle between its two hydrophobic tails, respectively (see inset of Figure 3d). The

angular distributions present in Figure 3c,d show that lipids in the ring structure, directly above and below the NDs, have an orientation that is similar to a normal lipid bilayer with  $\theta = 40^\circ$  and  $\phi = 60^\circ$ . However, lipids in the pore region have a very different orientation. These lipids are significantly tilted with a wide range of tilt angles ( $\theta = 60\text{--}120^\circ$ ) and the hydrophobic tails within each lipid are relatively well separated ( $\phi = 70^\circ$ ).

Our simulations reveal the formation of 1–2 water chains within the pore region. Once formed, the nanopores are stable within the simulation time scale, however, the passage of water through the nanopore is fluctuating. These water chains are typically one-molecule wide but can also be two-molecule wide. To quantify the pore dynamics and its potential for a molecular transport, we monitor the presence of water inside the interstitial region between 6 NDs. Figure 3f shows a time-dependent water filling of the pore by revealing the z-positions of all water CG beads with respect to the middle plane of the bilayer. We can identify the formation of transient water chains occasionally passing for a few picoseconds through the whole pore region. Therefore, we can see that the aligned polar heads in the center of the pore could facilitate the transport of water and other solvated molecules. Similar exchange of molecules can be anticipated between the interior and exterior of a hybrid NP–liposome, shown in Figure 3a.

### 3. COMPUTATIONAL METHODS

We study the NP–lipid systems using CGMD simulations with the MARTINI force field,<sup>66</sup> where roughly every four nonhydrogen atoms and hydrogen atoms coupled to them are mapped onto a CG bead (see Table 1). The bonded and

**Table 1.** Definition of CG Beads Used in the Model<sup>a</sup>

bead-type	representation	chemical nature
SC <sub>4</sub>	four gold atoms (NP core)	N/A
C <sub>1</sub> S	four methylene (NP ligand)	nonpolar
Q <sub>0</sub>	choline	charged
Q <sub>a</sub>	phosphate	charged
N <sub>a</sub>	glycerol	nonpolar
C <sub>1</sub>	hydrocarbon	nonpolar
P <sub>4</sub>	four water molecules	polar
BP <sub>4</sub>	anti-freeze	polar

<sup>a</sup>Q<sub>0</sub>, Q<sub>a</sub>, N<sub>a</sub>, and C<sub>1</sub> are defined in the MARTINI force field.

nonbonded interactions between CG beads are parameterized based on the MARTINI 2.0 force field,<sup>67</sup> where the bonded interactions between beads are described using harmonic potentials and the nonbonded interactions between beads are described using Lennard-Jones (LJ) potentials

$$V_{\text{LJ}}(r) = 4\epsilon \left\{ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right\} \quad (1)$$

where  $\sigma$  is the effective minimum distance between two beads (zero-crossing point of the potential) and  $\epsilon$  is the strength of their interaction.

The gold NP core ( $d = 1.6$  nm) is formed by 55 SC<sub>4</sub>-type CG beads arranged into a cuboctahedral shape of a face-centered cubic lattice structure. The bonding distance between these beads is 4.08 Å, which is the lattice constant of bulk gold. This structure is maintained by relatively rigid bonds (15 kcal/(mol Å<sup>2</sup>)) and SC<sub>4</sub>–SC<sub>4</sub>–SC<sub>4</sub> angles (60°, 2.988 kcal/(mol rad<sup>2</sup>)). In a similar way, triangular nanodiscs (ND) are

modeled using SC<sub>4</sub>-type CG beads arranged into a hexagonal close-packed lattice structure. Dodecanethiol ligands are attached onto all CG beads that are on the NP surface. Each ligand is represented as a linear chain of three apolar C<sub>1</sub>S-type CG beads, with parameters of saturated carbon chains (C<sub>1</sub>-type) from the MARTINI force field, since they are chemically similar to the hydrophobic tails of lipid molecules. In this model, the interactions between NP ligands are assumed to be dominant, such that the interactions between NP cores are neglected. This is a valid assumption for NPs with a normal-to-high ligand density.<sup>63,68</sup> Therefore, the coupling strength between neighboring NPs is controlled by the strength of nonbonded interaction between C<sub>1</sub>S CG beads.

The lipid bilayer is prepared from 1-palmitoyl-2-oleoyl-*sn*-glycero-3-phosphocholine (POPC) molecules. The amine head groups, phosphate head groups, and hydrophobic tails of the POPC molecules are modeled using Q<sub>0</sub>-type, Q<sub>a</sub>-type, and C<sub>1</sub>-type CG beads, respectively. These POPC molecules are also used to form a micelle enclosure for the solvation of hydrophobic NPs in water. The lipid bilayer is solvated in water (P<sub>4</sub>-type CG beads), with one antifreezing molecule (BP<sub>4</sub>-type CG bead) added to every 9 water beads to prevent the undesirable crystallization of water at 310 K, formed due to the simplified description of water molecules in the MARTINI force field.<sup>67</sup> BP<sub>4</sub>-type beads are modified P<sub>4</sub>-type beads with  $\sigma = 5.7$  Å for the BP<sub>4</sub>–P<sub>4</sub> LJ coupling.

The CGMD simulations are performed with the nanoscale molecular dynamics software<sup>69–71</sup> in an isobaric-isothermal (NPT) ensemble. A barostat pressure of  $P = 1$  atm is maintained by the Langevin piston method,<sup>72</sup> with a decay period of 200 fs and a damping coefficient of 50 fs. A Langevin thermostat is set to  $T = 310$  K, with a damping coefficient of 1 ps<sup>–1</sup> and a timestep of  $t = 20$  fs.

### 4. CONCLUSIONS

We have investigated the insertion, stabilization, structure, and dynamics of small hydrophobic NPs and their clusters inside lipid bilayers of planar and spherical shapes. The insertion dynamics is controlled by lipid coverage around the NP. Less protected NPs are less soluble in water and therefore have a faster insertion into the lipid bilayers. The equilibrium structures of NP clusters formed inside lipid bilayers are correlated with the relative coupling strength between the NP ligands and lipids. Hybrid structures of NDs intercalated within liposomes are also simulated. We observed the formation of nanopores between 6 NDs intercalated within lipid bilayers, allowing a transient passage of water. These simulation results provide insights into the complex but intriguing co-assembly behavior of NPs with lipid bilayers. We envision this study to inspire future studies that can potentially look into the insertion mechanisms of shaped NPs, such as triangular NDs, and the effect of size polydispersity in pore formation.

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## Notes

The authors declare no competing financial interest.

## ACKNOWLEDGMENTS

We acknowledge Dr. Alexey Titov for his contributions to the work and the support of the NSF DMR-1506886 award.

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